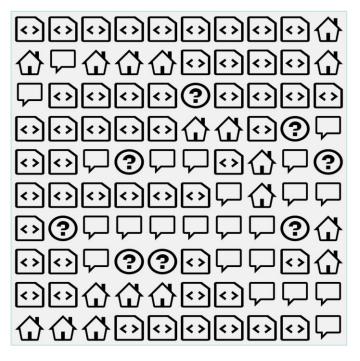
### Former Farmer Chases Traces



Herbert Van de Sompel

@hvdsomp@w3c.social - https://hvdsomp.info









In 2009, I was invited to present a keynote at the Bielefeld Conference in, well, Bielefeld Germany. It was a special occasion because, as a child, I had lived in the German town of Arnsberg, not far from Bielefeld. And the keynote gave me the opportunity to go revisit the stomping grounds of my childhood. I felt like sharing that excitement with the audience and did so by putting up an iconic image of those days: me as a boy scout, intent on doing something meaningful with my life!















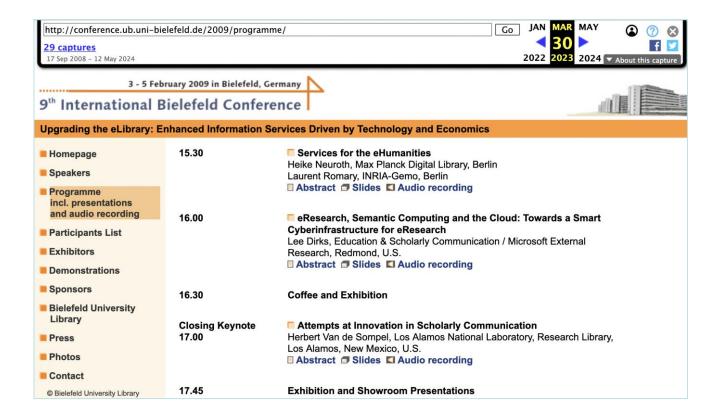


All materials from that 2009 Bielefeld conference are archived by the Internet Archive: program, presentation slides, audio recordings of presentations.









9<sup>th</sup> International Bielefeld Conference: Upgrading the eLibrary: Enhanced Information Services Driven by Technology and Economics, Bielefeld, Germany, 3-5 February 2009

http://web.archive.org/web/20230330152443/http://conference.ub.uni-bielefeld.de/2009/programme/



Ghent, of course, is even more special to me. I was born here, I lived here for about 40 years of my life, I got my higher education here, and I started my career here at the Ghent University Library. So, I felt that it would be appropriate to also show an iconic image that is representative of those days. Which turned out to be significantly harder because of the wide range of experiences, events, emotions in such a long period of time.

Eventually, I settled on this image: me as an experimental musician, intent on doing something creative with my life! It's a scan of a poster that announced a concert in 1987 at Ghent's cultural temple De Vooruit (meanwhile renamed to 404, what are the chances) that is available in the image database of the Ghent University Library and preserved for the long term by Meemoo, the Flemish Institute for Archives.

Our instrument was a scaffold construction prepared with contact microphones, piano strings, guitar pickups, water tubes. In the concert advertised by the poster we performed with a drumband that had won the Belgian championship the year before.









Stellingname Vooruit 4 dec.1987 : een Vooruit muziekproduktie - The Archer's paradox / foto's Michiel Hendryckx (L) & Peter Wijnendaele (R). https://lib.ugent.be/catalog/rug01:001648386



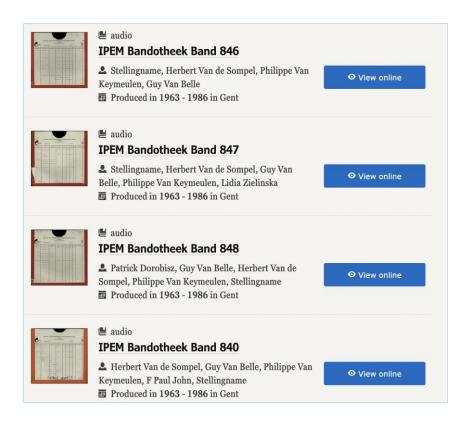
Just in case you might be interested in that kind of music: The tapes that we recorded with Stellingname at IPEM, the Institute for Psychoacoustics and Electronic Music of Ghent University, were digitized and are also available in the Ghent Library database and are preserved at Meemoo.

Listen at your own risk.









https://lib.ugent.be/en/catalog?q=stellingname+ipem



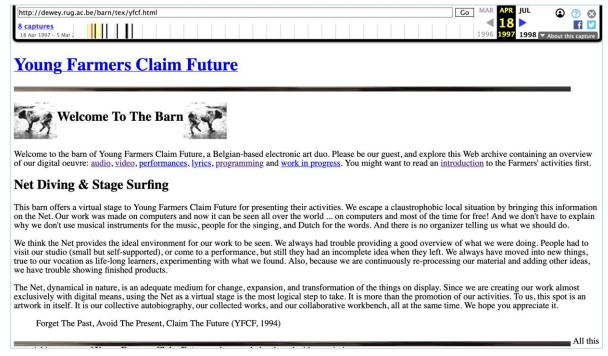
After Stellingname, I got involved in a computer music band named Young Farmers Claim Future: 2 guys and 4 networked computers. We recorded two CDs each with an extended media track, played concerts in Belgium, The Netherlands, Germany, Spain. We even had a website that is archived at the Internet Archive, be it only partly.

Our slogan, early on, was "Forget the Past, Avoid the Present, Claim the Future". A lot has changed in my perspective since then; I wonder whether age has anything to do with that.









Forget The Past, Avoid The Present, Claim The Future (YFCF, 1994)



In 1996, we made a contribution to a sound installation festival in Berlin, Sonambiente: 2 computers playing rock-paper-scissors with each other using voice commands output via their built-in speaker and recognized via their built-in microphones.

We have no remnants of that installation; it's all gone. Or so I thought until a Google search led me to a miniDV recording held by the Österreichische Mediathek in Vienna, where I currently happen to live.









Sonambiente 1996 MiniDV (13)

https://www.mediathek.at/katalogsuche/suche/detail/?pool=BIBL&uid=781471&cHash=21e348c89f1252cf5c9583284b09be9a



I got in touch with the people at the Österreichische Mediathek. They told me that I could get an MP4 file copy of the miniDV but that, unfortunately, the recording was defective from minute 40 onwards.

But, it turned out that YFCF's segment already started at minute 5. These are two still images taken from it.











Sonambiente 1996 MiniDV (13) VX-10726 Österreichische Mediathek



While making music with Stellingname and YFCF, my real job was library automation, here in Ghent, at the University Library. I literally started automation by myself and from scratch. My first task was administrative automation of interlibrary loan on a PDP 11/34. To cut a long story short, over time I was able to assemble an amazing team and we became pioneers in the use of CD-ROMs to bring scientific information to our users, subscribing to databases, publishing some ourselves, and making them accessible across the campus network even when it was still running X25.

Then the Web happened. And, as was the case with most libraries, our first use of it (1996) was to advertise the availability of those databases and explaining how they could be accessed. Not via the web but using a range of access mechanisms that involved downloading software or consulting at networked PCs in Faculty Libraries.









Universiteitsbibliotheek Gent (1996)

https://web.archive.org/web/19961109120724/http://cbdata.rug.ac.be/e-dienst/tex/e-dbase-nl.html



That all changed in 1997, when we made a big splash launching the Executive Lounge that actually provided access to most of those databases and then some via the web, using the web as a unifying access technology. A lot of European academic libraries were impressed and we even received popular culture awards for the site.

Unfortunately, very few representative remnants of that site have been preserved. Fortunately, I wrote a paper about the effort in preparation of a conference in South Africa. Well, not a paper, really. More like a blog post on PDF. But it is available in the repository of the Ghent University Library. I do not know how it got there because it was never actually published. Anyhow, this is a screenshot taken from that paper showing part of the Executive Lounge menu system.









Van de Sompel, H., Hochstenbach, P., and De Pessemier, T. (1997) The hybrid information environment and our Intranet solution to access it (1997) Presented at Fourth South Africa Online Conference - https://hdl.handle.net/1854/LU-1056689



It is around that time that I also became obsessed with interlinking scholarly information. The Web had hyperlinks. I wanted to see those interlinking scholarly information too.

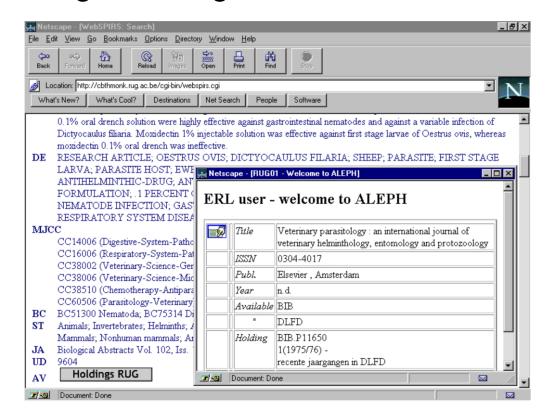
The early Executive Lounge already showed such linkages at work, the result of a collaboration with Ex Libris and SilverPlatter: dynamic links from Abstracting and Indexing databases to the library catalogue available via a "Holding at Ghent University" button.







## Executive Lounge, Linking from A&I Database to Catalogue



Van de Sompel, H., Hochstenbach, P., and De Pessemier, T. (1997) The hybrid information environment and our Intranet solution to access it (1997) Presented at Fourth South Africa Online Conference - https://hdl.handle.net/1854/LU-1056689



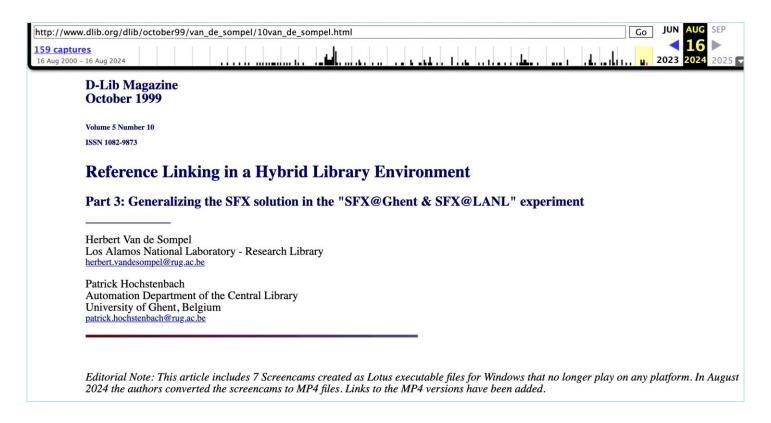
Eventually, this obsession with interlinking scholarly information led to the SFX/OpenURL library linking server work I did with Patrick Hochstenbach. It became the topic of my PhD thesis. The thesis is a bundling of papers – like this one - published in D-Lib Magazine. D-Lib Magazine has meanwhile has ceased publication but remains online. But this paper is also archived at the Internet Archive, and accessible through fatcat.

This SFX paper contained screencams that illustrated user interactions with a linking server. The screencams were provided as exe files for WinTel platforms and became obsolete quite a while ago. Thanks to advise of someone from this community – Andrew Weaver – I was recently able to migrate those screencams to a current format (Wine, CDROM image, VLC). The people at D-Lib were very helpful to make the migrated screencams accessible. And I pushed the updated version of the paper and the new screencams into the Internet Archive.









Van de Sompel, H., and Hochstenbach, P. (1999) Reference Linking in a Hybrid Library Environment. Part 3: Generalizing the SFX solution in the "SFX@Ghent & SFX@LANL" experiment.

https://web.archive.org/web/20240816072424/http://www.dlib.org/dlib/october99/van\_de\_sompel/10van\_de\_sompel.html



OpenURL became an ANSI/NISO standard, Patrick's SFX software got taken on board by Ex Libris and was commercialized. Over time, several competing library linking server products emerged.

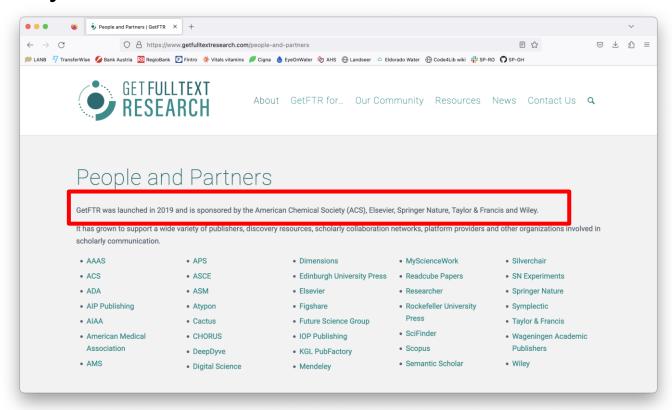
Linking servers are still used by academic libraries, worldwide, but they are meanwhile facing heads-on competition from a centralized solution sponsored by the major commercial publishers, which technically consists of a combination of SeamlessAccess and GetFTR.







## GetFTR: Easy Access to Content Researchers are Entitled to Read



GetFTR (2019)

https://www.getfulltextresearch.com/people-and-partners



This is where I had planned to go off on a rant about the sorry state of the research communication system, the ongoing consolidation of publishing venues, the technical centralization that comes with it, the surveillance capability that results, the excessive profit margins, ... and the dire need for an alternative system.

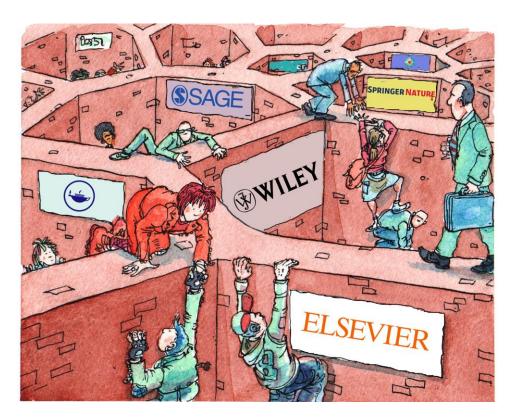
But, since I consider this talk a celebration, "the Return of the Homeboy", I eventually decided not to go there. Been there, done that. If you want to hear me reminisce about that, I can highly recommend my 2017 CNI keynote.







# Scholarly Communication: Deconstruct & Decentralize?



Van de Sompel, H. (2017) CNI Paul Evan Peters Award lecture - Scholarly Communication: Deconstruct & Decentralize? https://vimeo.com/248056214



So, I'm going to head in another direction here. A direction that came to me while compiling the slides so far. What we've seen thus far, using myself as an example:

- 1. Unsurprisingly, as we go about our lives, we're leaving traces/artifacts all over the place. Some get captured as a result of the collection development policy of archives. Others, are never captured; artifacts slip between the maze although at some point they might have been important to us.
- 2. How does one know in which archives to look for our artifacts? In my examples, the Ghent Library archive is probably rather predictable. But the Österreichische Mediathek in Vienna less so.
- 3. If these artifacts are archived, how does one know that they are related, that they are traces left by the same individual? Where's the aggregate view?







### **Archived Personal Traces**

- Some traces left by individuals get captured as a result of the collection development policy of archives, others slip between the maze although they might have been important to the individual.
- **Discovery of archived personal traces** No obvious way to determine in which archives an individual's traces can be found.
- Inventory of archived personal traces No aggregate resource that connects these archived traces, that makes it obvious that the archived traces available in various archives were left by a same individual.









So, I am going to take you on a journey in search of archival approaches that have a focus on traces left by individuals that try to address the challenges I just mentioned.

I will explore this in the narrower context of **research communication on the web**. Existing archival frameworks in research communication understandably take a systemic perspective, not a personalized perspective on archiving. **The attention is on the medium not on the messenger.** 

I will talk about two experiments that look at archiving from another perspective: the myresearch.institute experiment that takes an institutional perspective and the mycontributions.info experiment that takes an individual perspective.

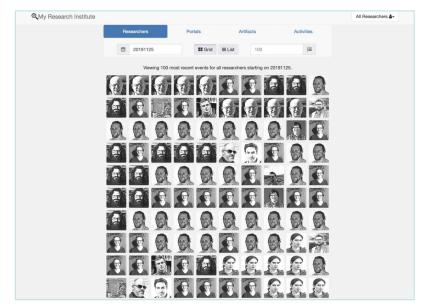


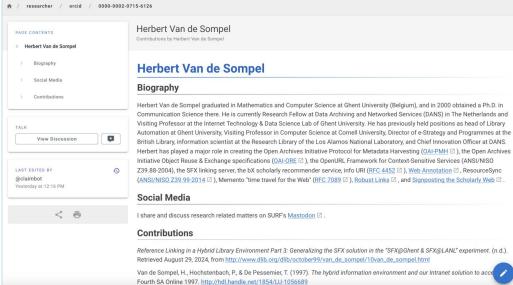




## myresearch.institute

## mycontributions.info













But before digging into the experiments, I want to disclose the basic inspiration for both: Personal Web Observatories. What you see here is a screenshot of Amy Guy's personal web observatory. Well, a snapshot of a that observatory dating back to 2017.

Amy Guy is a computer scientist with an education from the University of Edinburgh who has been involved in a range of W3C standardization efforts and is also on the W3C TAG.

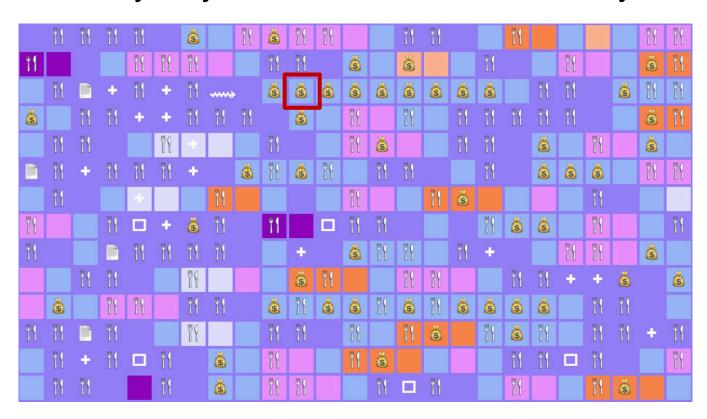
The web observatory provides a visualization of the activities that Amy conducts in a variety of portals on the web. While leaving traces in those portals, Amy's personal site provides a summarization of it all. So, for example, when I click that money bag there ...







# Amy Guy's Personal Web Observatory





I can see that Amy bought some vegan food at Luton Airport and how much was spent on that. Amy recorded this using some app or portal. Other activities are recorded using other apps and portals and eventually they all get listed in Amy's personal web observatory. Each little square in the screenshot I showed is such an activity.







# A Personal Trace

Tuesday the 5th of September at 7:25pm (+01:00)



https://rhiaro.co.uk/2017/09/59aef516c7041



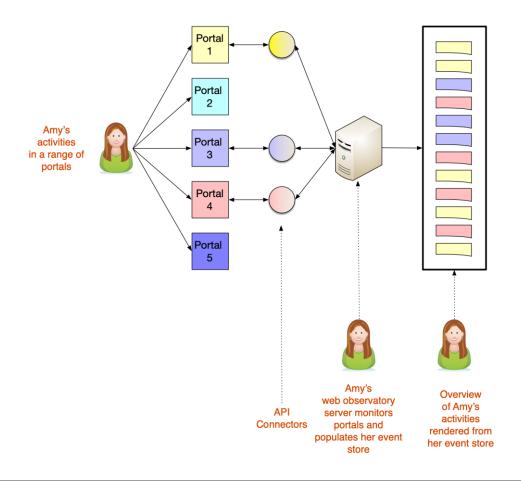
So, in essence, the premise of a personal web observatory is as follows:

- personal activities and conducted/recorded in variety of portals
- a personal server automatically monitors a select number of portals looking for new activities by the individual; the server uses connectors for the APIs of various portals to achieve this
- the activities recorded in those portals are collected and stored in a personal event store
- a user interface overlaying the personal store provides a crossportal overview of personal activities









based on: Amy Guy (2017) Observing the decentralised social web (one telescope at a time) https://rhiaro.co.uk/2017/personal-web-observatory



The Personal Web Observatory work was a direct inspiration for the myresearch.institute experiment that was part of the Mellon-funded Scholarly Orphans project, a collaboration between my team at Los Alamos and Michael Nelson's team at Old Dominion University.

It was the last experiment I set up with my team at Los Alamos prior to leaving for DANS in The Netherlands. Martin Klein, who has meanwhile left Los Alamos himself, took over the experiment from me.

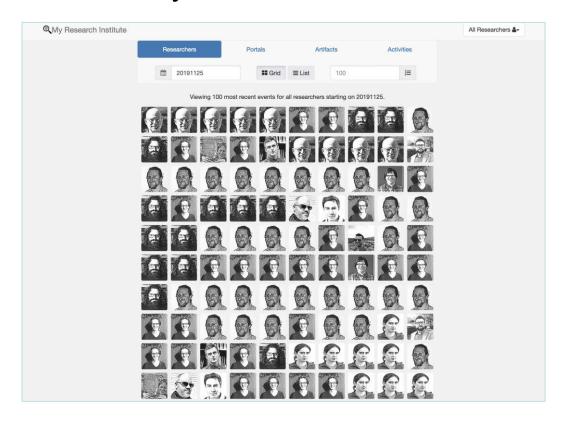
The results of the experiment are still online. The question is for how much longer, now that both Martin and I have left Los Alamos.







# myresearch.institute











A core motivation for the Scholarly Orphans project was an insight that resulted from the Hiberlink project, a collaboration between the University of Edinburgh and Los Alamos led by Peter Burnhill, that focused on the archival status of web resources referenced in scholarly papers. It found that such resources, in many cases non-traditional scholarly resources, were very poorly archived.

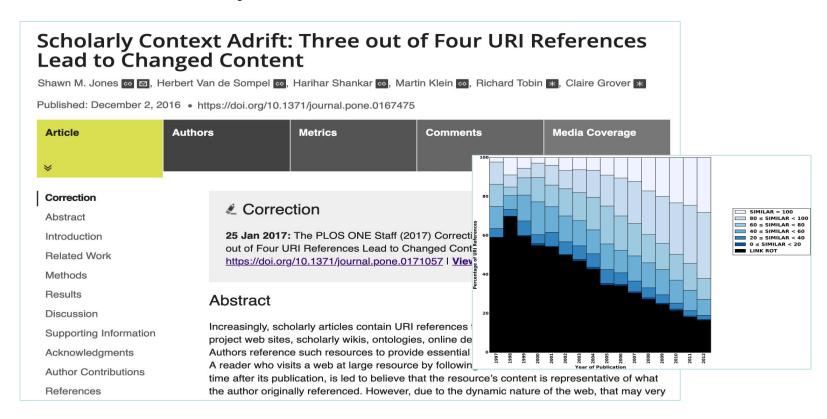
The results of the Hiberlink research were published in PLOS One and I am happy to be able to publicly thank Andy Jackson for his approach to depict link rot and content drift over time that we used in this paper.







#### Hiberlink – Poorly Archived References to Web Resources



Jones, S. M., Van de Sompel, H. Shankar, H., Klein, M., Tobin, R., and Grover, C. (2016) Scholarly Context Adrift https://doi.org/10.1371/journal.pone.0171057



Overall the considerations at the basis of the project were that:

- Researchers use a variety of web platforms for collaboration and communication
- They use platforms that are dedicated to scholarship as well as general purpose
- The researchers' institutions are not aware of contributions made in general purpose portals and many times also not of those in scholarly portals that deal with less traditional contributions.
- Although contributions are not systematically archived, institutions have an incentive to obtain broad coverage of the contributions of their researchers. After all, institutions have set up institutional repositories and/or CRIS systems to cover contributions by their researchers to the research literature. Institutions have started setting up repositories to cover research data. It seems like a logical next step for institutions to start looking into systems that can cover a broader range of their researchers' outputs.







## myresearch.institute - Considerations

- Researchers use a variety of web platforms for collaboration and communication.
- They use platforms that are dedicated to scholarship as well as general purpose.
- The researchers' institutions are not aware of contributions made in general purpose portals.
- Contributions are not systematically archived.
- Institutions have an incentive to obtain broad coverage of the contributions of their researchers.









So, we decided to explore the potential of the Personal Web Observatory approach in an institutional setting, i.e. institutions operate infrastructure to automatically track contributions of researchers in web portals.

We created a fictitious research institution and populated it with 16 researchers who had given their consent to track them across a range of portals.

In our institutional observatory, the researchers were uniquely identified by means of their ORCID and in each portal they had a web identity that our observatory knew about. That is essential to be able to track them.







# myresearch.institute - Researchers

- 16 researchers
- Uniquely identified by ORCIDs
- Web identities in multiple portals
- Make a variety of contributions











We selected 11 portals in which to track our researchers. Some are general purpose portals and some are focused on research: hypothes.is, blogger, slideshare, wordpress, github, figshare, wikipedia, home page, publons, stack overflow, ...

10.187 unique contributions were tracked between August 2018 and March 2020.







# myresearch.institute - Portals

- 11 portals
- Tracking started August 2018
- Tracking ended March 2020
- 10.187 unique contributions tracked











The event store was gradually populated over time and a user interface, rather inspired by Amy Guy's personal web observatory, allowed getting an overview of our researcher's contributions.

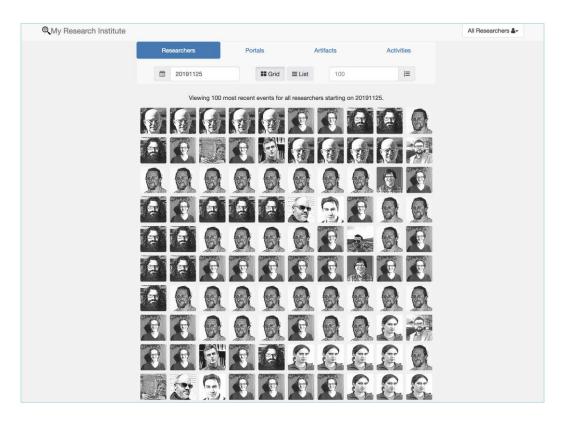
It provided ways to get a chronological overview of contributions by all researchers, organized by the researcher who made the contribution, the portal, the contribution type, and the activity type. It also allowed to zoom in on a single researcher.







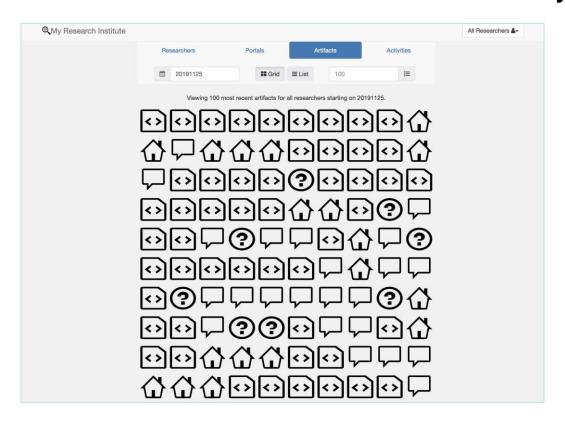
# myresearch.institute - Contributions - Researcher View



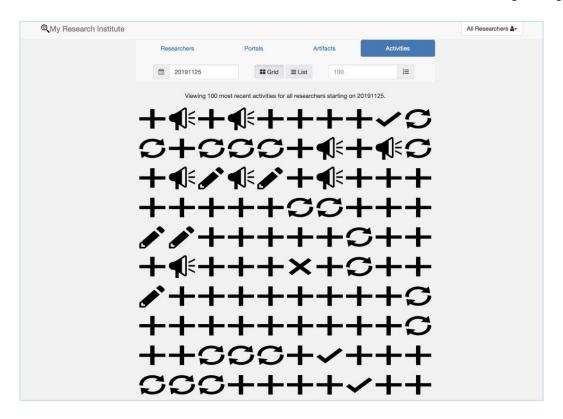
## myresearch.institute - Contributions - Portal View



# myresearch.institute - Contributions - Artifact Type View



## myresearch.institute - Contributions - Activity Type View





Details about a specific contribution were presented on a sort of landing page. This page is about a contribution I made to Github.

I did mention that a basic consideration of the Scholarly Orphans project was that these contributions were poorly archived. So, the event store, while useful in its own right as a means to get a broad perspective on researchers' contributions, also acted as a seed for web archiving those contributions. Contributions were captured and deposited both in the institutional observatory (as a WARC file) and in a cross-institutional scholarly web archive – scholarlyorphans.org - where it could be replayed.

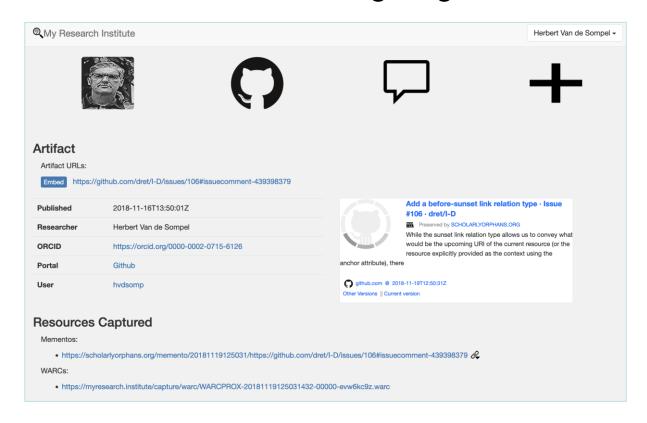
The landing page provides access to these captures.







# myresearch.institute - Landing Page for Contribution





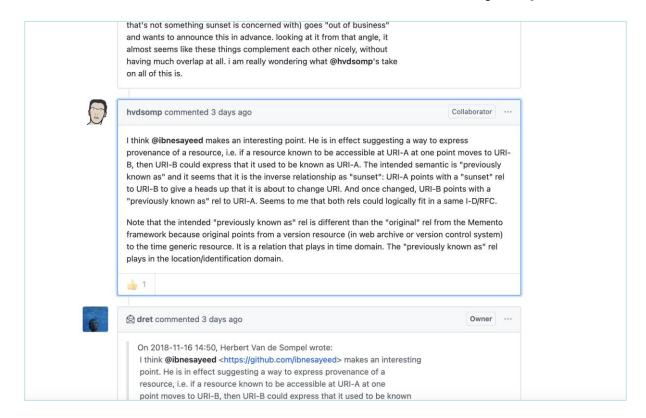
And this is a Memento of my Github contribution, archived at scholarlyorphans.org where it can also be replayed.







#### Memento of Contribution in scholarlyorphans.org





The overall architecture indeed looks very much like Amy Guy's Personal Web Observatory. But, here, multiple observatories are operated at the same time by an institution instead of by an individual.

Each observatory tracks a single researcher but populates a shared event database. The tracking techniques used are the same as in the Personal Web Observatory, i.e. API connectors continuously monitor remote portals; the web identities of researchers in each portal are essential to be able to do so.

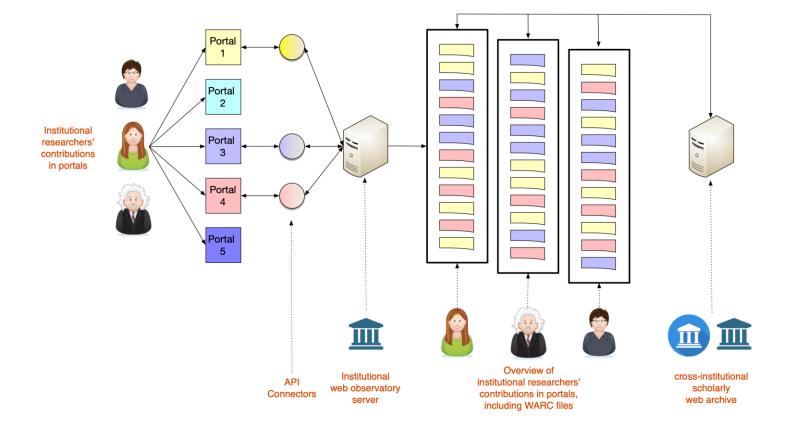
The Institutional Web Observatory provides an overview of institutional and personal contributions.

Additionally, there is the archival component. Whenever a new contribution is detected, it gets captured and deposited as a WARC at the institution and as a replay-able capture in a cross-institutional scholarly web archive.

















I want to share a few insights gained from this effort that informed the overall approach of the mycontributions.info experiment that I will talk about in a bit:

- It's not just institutions that do not know about researcher
  contributions in those web portals. The researchers know they make
  them but loose track of it all. As such, they much appreciated the
  overview provided in the institutional web observatory. They even
  asked whether our experimental setup had an API so they could get
  their stuff out.
- One can track only so many portals and obviously researchers will make contributions to portals that were not tracked
- Not all tracked contributions seem to be scholarly; there's definitely a mix of private and scholarly on general purpose platforms







## myresearch.institute - Insights

- Researchers don't remember all the contributions they make and as such much appreciated the overview provided in the institutional web observatory.
- Researchers make contributions to portals that were not tracked; can't track them all.
- Not all tracked contributions seem to be scholarly; there's definitely a mix of private and scholarly on general purpose platforms.









Which brings us to the mycontributions.info experiment that is currently being conducted by my long-term collaborator Patrick Hochstenbach.

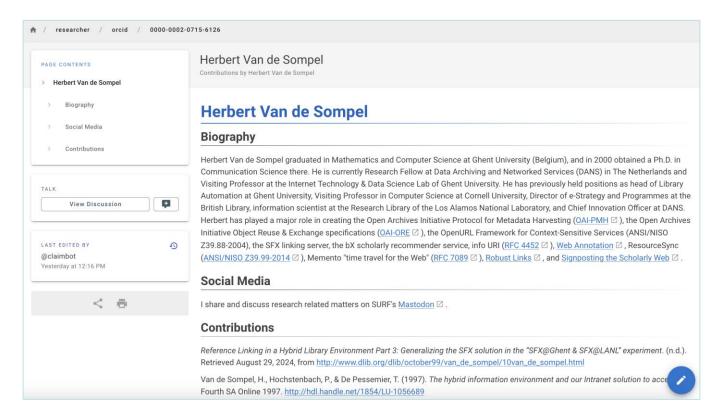
That is, indeed, the same Patrick Hochstenbach who developed the first SFX linking server software 25 years ago. The mycontributions.info experiment is a collaboration with SURF and is part of Patrick's PhD research; Ruben Verborgh and I are his mentors on his PhD journey.







## mycontributions.info











Before addressing the design of the experiment, I want to provide some context. SURF is the ICT cooperative of education and research institutions in the Netherlands. SURF allocates resources to explorative innovation activities in a range of areas. Societal relevance is an important criterion to decide which innovation activities to pursue.

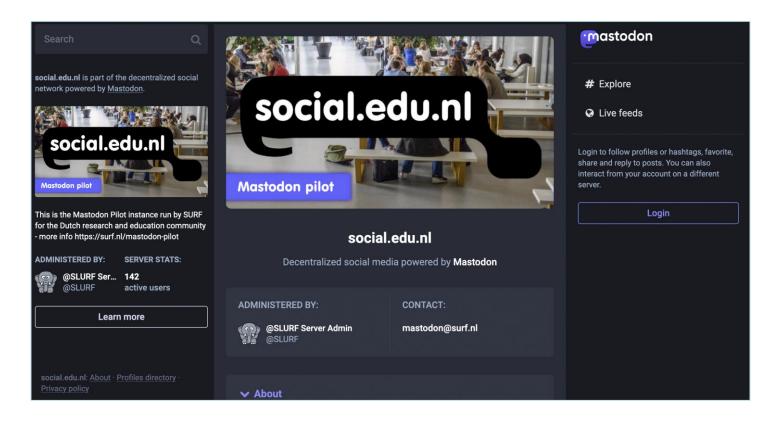
Last year, as part of its Innovation activities, SURF set up a Mastodon instance. It has not been successful to this date, going by the "142 active users" stat.







#### **SURF Mastodon Pilot**





Earlier this year, SURF organized a brainstorm to discuss potential innovative activities in the realm of scholarly communication and the distributed web. Two main and seemingly unrelated themes/challenges emerged from the meeting.

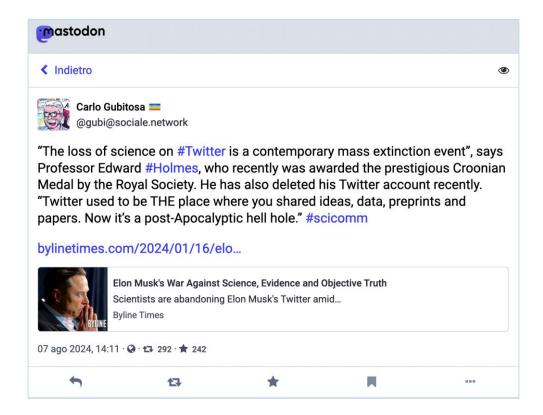
The first theme was the disappearance of informal research communication on twitter resulting from Musk's take-over. It has been characterized as a contemporary mass extinction event. The question hanging around the room was whether the Fediverse with its distributed Mastodon instances could provide an alternative.







#### Theme 1: Xodus, Loss of Science Communication on Twitter



https://sociale.network/@gubi/112920672314200247

Markolin, P. (16 January 2024) Elon Musk's War Against Science, Evidence and Objective Truth https://bylinetimes.com/2024/01/16/elon-musks-war-against-science-evidence-and-objective-truth/



The SURF Mastodon instance has a very interesting feature with this regard: Users are registered and authenticated by means of SURFConext, the single sign-on service for high education in The Netherlands that leverages institutional identity providers. Meaning posts by users of the SURF Mastodon instance can be unambiguously attributed, traced back to an actual person who works or studies at a Dutch higher education institution. Which is very attractive from a research communication perspective.

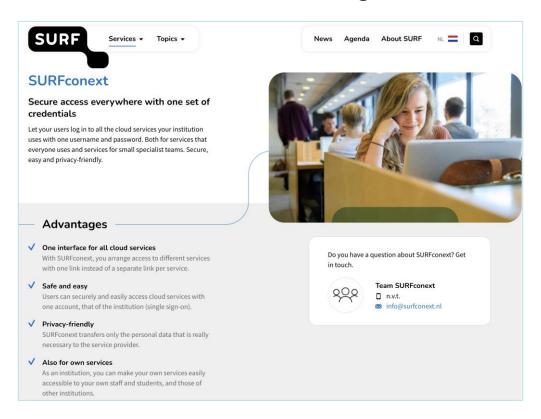
But how to get them to join? What is the carrot?







# SURFconext Used for Mastodon Registration/Authentication





The second theme related to the efforts in the context of Open Science to assess researchers in novel ways, to take a broad range of activities/contributions into account for assessments.

- There is global activity in this realm, for example, under the umbrella of COARA, the Coalition for Advancing Research Assessment.
- And recently I came across a UNESCO document "Principles of Open Science Monitoring" that is in request for feedback status. It actually lists Roberto Di Cosmo as one on the contributing experts.







#### Theme 2 - Holistic Assessment of Researcher Contributions

# Coalition for Advancing Research Assessment

Our vision is that the assessment of research, researchers and research organisations recognises the diverse outputs, practices and activities that maximise the quality and impact of research. This requires basing assessment primarily on qualitative judgement, for which peer review is central, supported by responsible use of quantitative indicators.

# Call for inputs: Global Consultation on the Draft Principles of Open Science Monitoring

As part of the ongoing efforts to advance open science monitoring in alignment with the 2021 UNESCO Recommendation on Open Science, UNESCO is calling for inputs and comments from all the regions and interested stakeholders on the Draft Principles for Open Science Monitoring.

Deadline for inputs: 30 November 2024





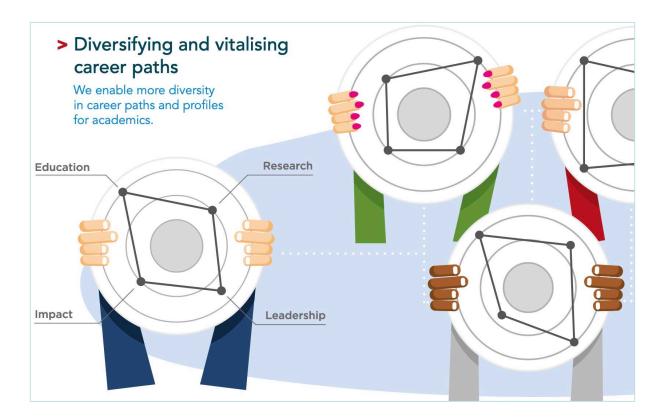
This desire to assess researchers in novel ways also very much exists in the Netherlands. And there is clear interest in areas that go beyond the creation of scholarly artifacts, including, under the "impact" umbrella penetration of research results into society, the media, i.e. a newspaper article about a researcher's work, an interview on the radio, an appearance on a TV program, etc.







#### Theme 2 - Holistic Assessment of Researcher Contributions



VSNU, NFU, KNAW, NWO and ZonMw (2019) Room for everyone's talent: towards a new balance in the recognition and rewards of academics https://recognitionrewards.nl/wp-content/uploads/2020/12/position-paper-room-for-everyones-talent.pdf



A challenge with this regard, though, is how to get an overview of all pertinent activities/contributions in a manner that doesn't put all the burden on the researchers.

The EC-funded GRASPOS project operates in this realm and aims at generating holistic researcher profiles, for example, even including things like conference attendance. I heard OpenAIRE's Nathalia Manola talk about the project at the recent CRIS2024 conference in Vienna. She confirmed the challenge.

As we learned in the myresearch institute perspective, one can't monitor it all. The monitoring approach can work when a select number of environments are deemed relevant but it breaks down when the environment that is to be monitored is the entire web.







## graspos – Open Research Assessment Dataspace



#### graspos Researcher Profile

#### Narrative that goes beyond research outputs

- Supported by evidence
- Using a variety of open data sources

#### How

- Different templates for different uses
- Novel ideas for presentation

#### Challenges

- How to capture information beyond research outputs
- ORCID extensions a valuable tool, but far from becoming extensively used



EuroCRIS 2024 | Vienna | May 15-17, 2024







So, one needs to turn the whole thing around. And that was a major insight of the brainstorm at SURF: instead of detecting researchers' traces by monitoring portal APIs, have researchers themselves announce them, in the case of the SURF experiment by posting about them on Mastodon.

The specific approach chosen draws inspiration from tools that had been created on Twitter, such as #ICanHazPDF to obtain a copy of a research article that sits behind a paywall and @icanhazmemento to request the creation of a Memento for a certain URL. In this case, researchers will post about resources that they consider their contributions.

The incentive for the researcher to post is to obtain holistic data that can be used for assessments but, honestly, also to just keep track of what they've been up to. And the hope is that the carrot of the contribution list will be sufficient to attract Dutch researchers to Mastodon and start informal research communication there. We will learn whether that hope is justified once SURF decides on a community in which to run a pilot.



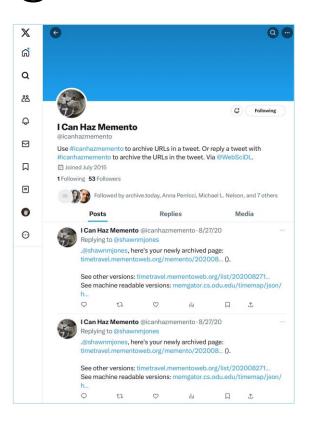




#### #ICanHazPDF



#### @icanhazmemento





Here's a high-level overview of the design of the experimental setup that Patrick is currently working on.

I am registered with the SURF Mastodon instance.

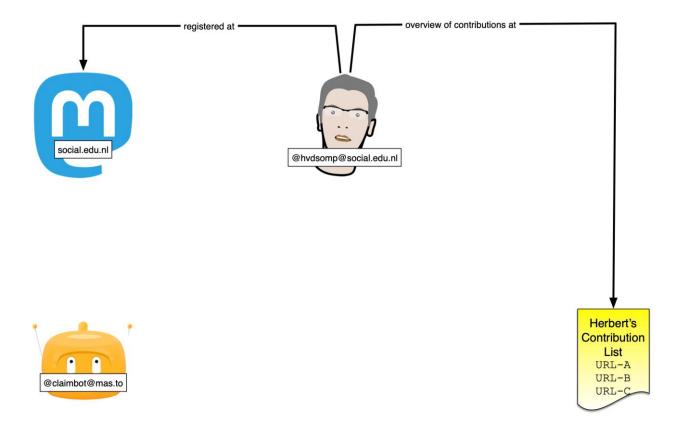
I have a Contribution List.

There's also a bot in the Fediverse – the claimbot - that accepts requests from users registered at the SURF Mastodon instance.

















To the left is my personal mastodon account on the SURF instance; you can seen the verified URL of my Contribution List there too.

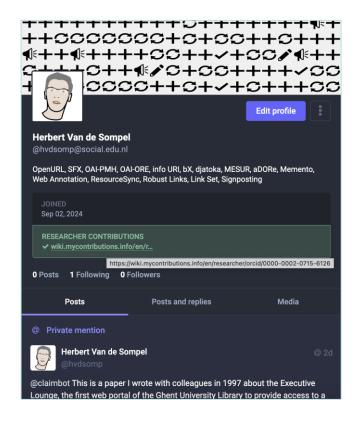
To the right is the claimbot's mastodon account.

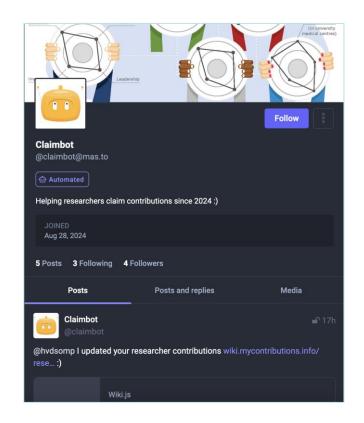






## mycontributions.info - Personal and Bot Mastodon Accounts







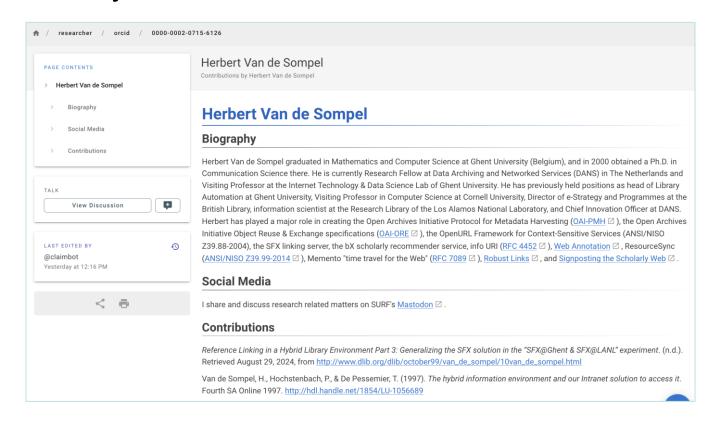
This is my Contribution List that I have prepopulated with scholarly contributions I mentioned at the beginning of my talk: the D-Lib paper and the paper/blog about the Executive Lounge.







### mycontributions.info - Contribution List



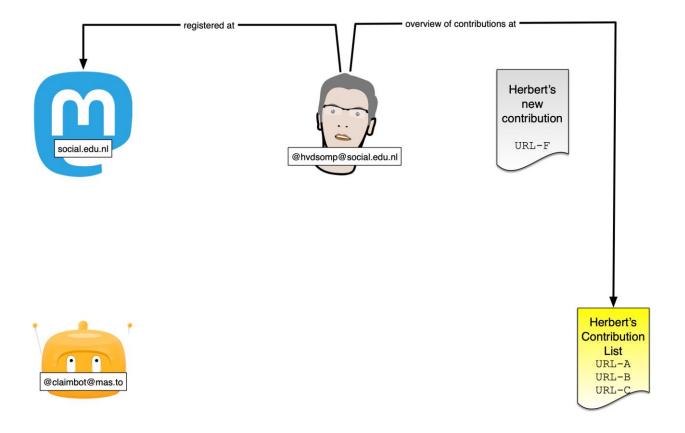


Let's now introduce a new contribution I made, which I want to claim.

















In order to do so, we are going to roll back time for a moment and pretend it's 2009 again, when I was speaking at the Bielefeld Conference.

I am going to claim two new contributions with that regard:

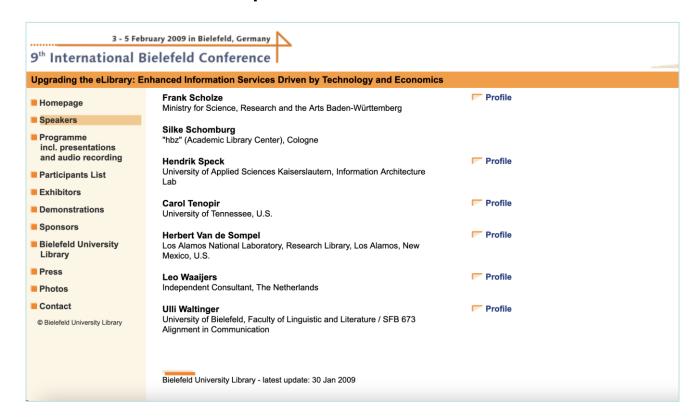
- A page that shows that I am a speaker at the Bielefeld Conference
- The slides that I use for my talk



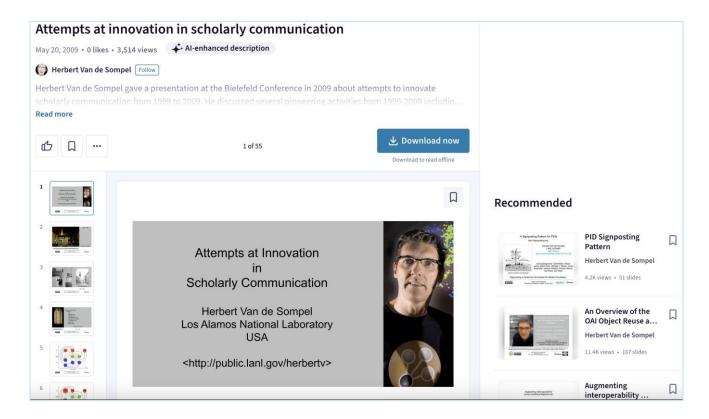




## Contribution 1: Speaker at Bielefeld Conference



#### Contribution 2: Presentation Slides for Bielefeld Conference



https://www.slideshare.net/slideshow/attempts-at-innovation-in-scholarly-communication/1466198

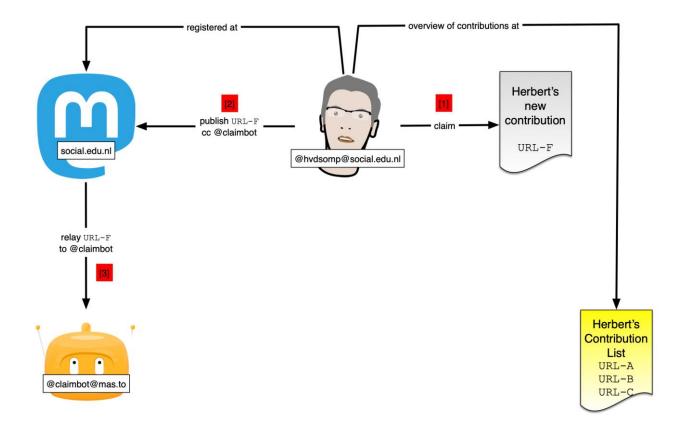


So, I am going to create Mastodon posts with the URL of these pages and I will target them at the claimbot.

















- Prior to the Conference, I express my excitement to be speaking
- After the talk, I share my slides

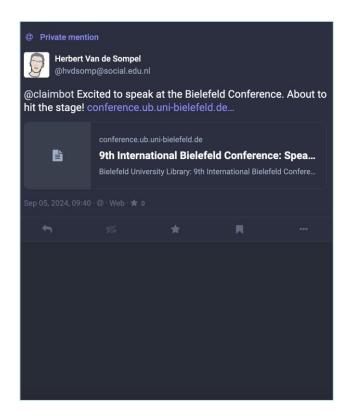
Note that I have sent these as private messages so as to not spam the SURF Mastodon instance. But in reality I would send these publicly so that any one can see my new contributions.



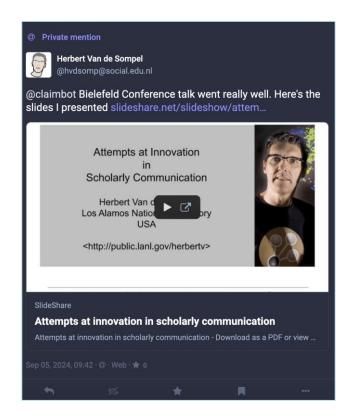




## mycontributions.info - Announce Contribution 1, cc @claimbot



## mycontributions.info - Announce Contribution 2, cc @claimbot





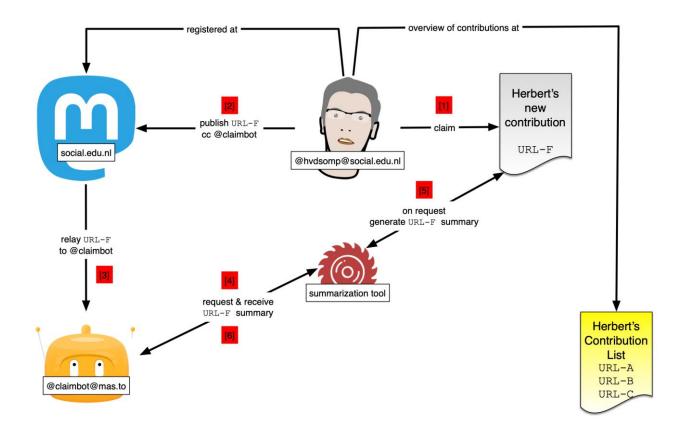
The claimbot receives the post, checks whether it originates from someone registered with the SURF Mastodon instance, and if so, calls a service that automatically extracts pertinent metadata about the contribution.

That information extraction is not conducted by calling APIs as was the case in myresearch.institute but by obtaining information via the contribution page.















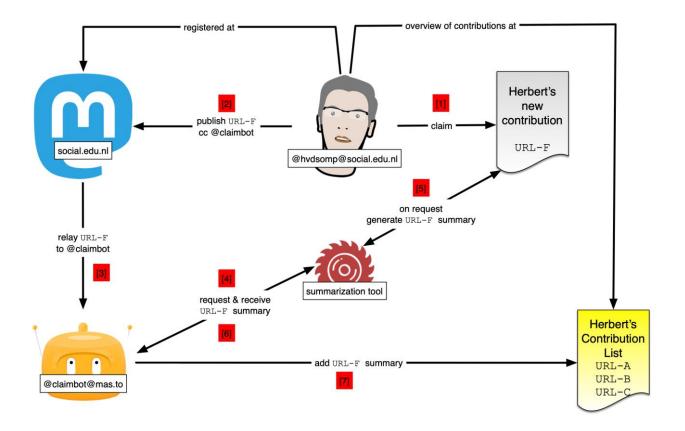


The claimbot adds an entry for these new contributions to my Contribution List.

















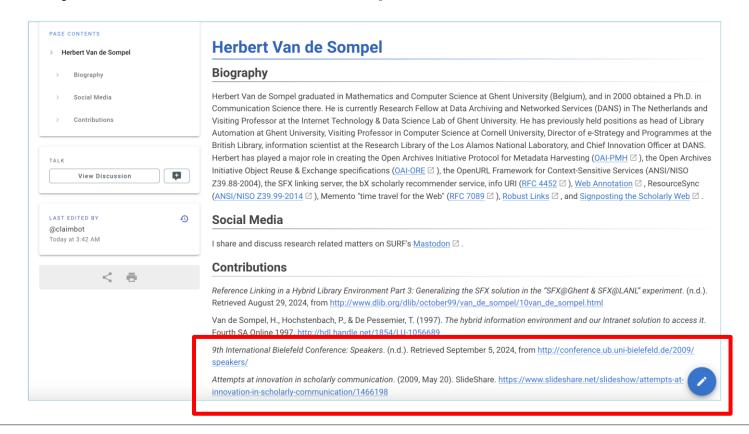
As you can see the two new contributions were added to my Contribution List. The metadata extracted is pretty minimal in this case but there's at least an entry for the contributions.







#### mycontributions.info - Updated Contribution List





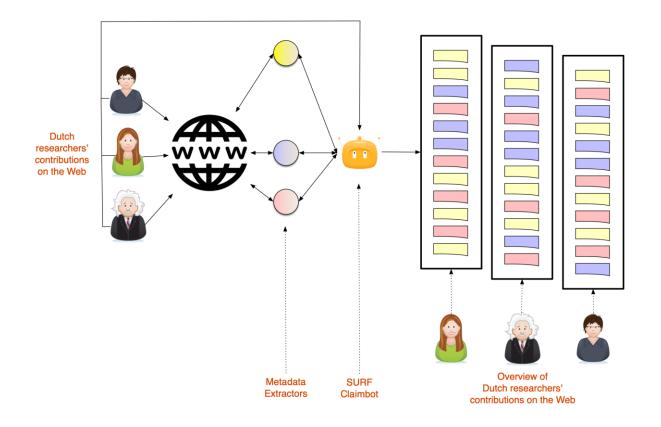
The overall approach in this case still draws inspiration from the Personal Observatory work in that overviews of contributions by researchers that reside on the web are created.

But the action radius of this approach is not just a select number of portals in which researchers are active but rather the web at large. Granted, researchers can not just sit back and relax while their contributions are automatically detected. They need to be pro-active and announce them. But in return, their Contribution Lists are updated all the while they are also informally communicating about scholarship on mastodon.

















Now, the focus in this experiment is on novel research assessment approaches but it is obvious that Contribution Lists can also be used as seeds for archiving, just like the traces obtained by observing APIs in the myresearch.institute experiment.

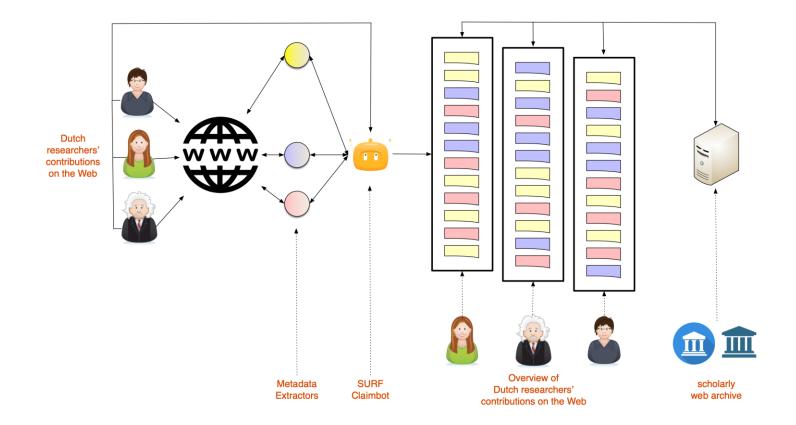
The approach could be passive, i.e. the Contribution List just sitting there, waiting, until an archival bot comes by.

Or, much better, the approach could be pro-active, for example, by using event notifications to request resources to be archived. In which case, Contribution Lists can link to Mementos of the contributions, which is beneficial to the scholarly record as such but also augments the value of Contribution Lists as sources for evaluation, indicators, etc. Because even when the contributions are gone from the live web, their nature can be checked via archives.

















So, I have discussed two experiments, myresearch.institute and mycontributions.info that take a different perspective on archiving the webbased scholarly record. Not a systemic but rather an institutional and personal perspective, respectively.

Both approaches, if they would be pursued in reality instead of as a mere experiments, would lead to a better archival coverage of scholarly contributions; they would complement existing archival frameworks. And, as I've shown, in both approaches, incentives are in place for these parties to invest time and effort to optimize archival coverage of research contributions.

I very much understand that there are very significant challenges involved in turning these experiments into reality. Organizational, social, economical, legal, etc., etc. But, as one can when presenting a keynote, I am going to leave those to the reader.

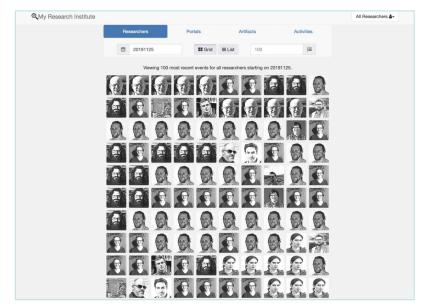


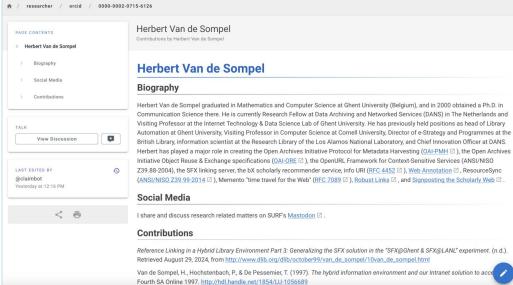




#### myresearch.institute

### mycontributions.info













I want to loop back to the start of the presentation where I discussed challenges with archiving personal traces.

Remember: artifacts slipping through the maze, the lack of a resource that ties all archived artifacts of an individual together, problems discovering personal traces because they reside in various archives.

There's a lot, especially in the mycontributions.info approach that I find inspiring when thinking more generally about archiving the personal traces that we leave on the web.







#### **Archived Personal Traces**

- Some traces left by individuals get captured as a result of the collection development policy of archives, others slip between the maze although they might have been important to the individual.
- **Discovery of archived personal traces** No obvious way to determine in which archives an individual's traces can be found.
- Inventory of archived personal traces No aggregate resource that connects these archived traces, that makes it obvious that the archived traces available in various archives were left by a same individual.









I am going to characterize the approaches I discussed in terms of the various phases as identified by these Tips for Personal Web Archiving that are very much inspired by those for Personal Digital Archiving. And I am going to look into the Who does What in each phase.

These Tips are about getting your stuff off the web, onto your own machine, and onto redundant storage. That's not what I am after here because:

- I want the personal archive to be usable and I want it to complement other archives, which it can not do when it sits on my laptop
- I don't want to and am not able to run my own archival infrastructure
- can't dedicate the necessary attention to this; living my life; trying to protect myself from cyberscams







# Personal Web Archiving Tips

	How	Who
Identify	locate your content on the web	individual
Select	select what's important to you	individual
Capture	save as ; export	individual
Organize	directories ; file names	individual
Persist	redundancy ; migration	individual

based on : Personal Archiving: Preserving your Digital Memories https://www.digitalpreservation.gov/personalarchiving/documents/PA\_websites.pdf



Now let's look at mycontributions.info approach this way too:

- Individuals identify and select the resources they deem relevant by tooting about them and creating Contribution Lists; the lists provides seeds for archival processes.
- Memory organizations take care of the last three phases and as such individuals do not need web archiving nor long-term preservation expertise
- Using an appropriate interface between a Contribution List and a
  web archive, the List can be made to reflect in which archive
  contributions are archived. And, obviously, not all contributions
  would need to end up in the same archive.







# mycontributions.info

	How	Who
Identify	toot; contribution list	individual
Select	toot; contribution list	individual
Capture	web crawl/capture	organization
Organize	contribution list; web archive replay	indiv ; org
Persist	redundancy ; migration	organization









Contrast this with the myresearch institute approach in which the researcher's institution takes care of identification by choosing a set op portals to monitor but contributions slipped through the maze because one can't monitor them all.







# myresearch.institute

	How	Who
Identify	track researchers via portal APIs	institution
Select	1	institution
Capture	web crawl/capture	organization
Organize	event database; web archive replay	inst ; org
Persist	redundancy ; migration	organization









This leads us to the following perspective on Personal Web Archiving in which I reorganized the parameters of the previous tables a bit:

- The individual is in charge of Identification/Selection because the individual is keen to get specific traces archived
- The memory organization in charge of Capture/Organize/Persist because that's its expertise and – in a best case scenario – its time horizon is longer than that of an individual.

A partnership, basically, between individuals and memory organizations for Personal Web Archiving







# Personal Web Archiving as a Partnership?

	Individual	Memory Organization
Identify	nomination	
Select	nomination	
Capture		web crawl/capture
Organize		web archive replay
Persist		redundancy; migration









I want to make some observations here, some technical, some organizational. First technical:

- There's something very very nice about the overviews of personal traces along with their corresponding Mementos as discussed in both myresearch.institute and mycontributions.info. They are an inventory documenting personal traces and their archival copies.
- Capture challenges (complex web pages, blocking bots, authorization) may require desktop capture even though more demanding for the individual. I have nothing against desktop capture (I use it) but maybe that's where the work involved starts getting in the way of an individual actually doing it









- There's something really attractive about the nomination approach in mycontributions.info, in which the individuals are just in their normal flow on the web, and nomination totally integrates into that flow. It did so with toots but seamlessness can be achieved in other ways, e.g. Zotero personal collection & Robust Links add-on. Would be nice to have for both web crawl/capture and desktop capture paradigms.
- Since we're dealing with an environment with multiple archives interoperability regarding capture requests would be very advantageous:
  - by-reference requests when dealing with web capture
  - by-value requests when dealing with desktop capture
  - responses to these requests in order to streamline management of Inventories







#### **Technical Considerations**

- Inventory of resources and their corresponding Mementos.
- Capture challenges (complex web resources, blocking of bots, authorization) may require a desktop capture approach rather than a web crawl/capture approach that is easier on the individual.
- Seamless, in-the-flow, nomination of resources to be archived for both capturing paradigms.
- Web archive interoperability for capture requests/responses:
  - By reference, to request a web archive to web crawl/capture resources;
  - By value, to transfer desktop-captured resources to a web archive;
  - Responses to these capture requests ~ management of Inventory.









This all leads us to a somehow enhanced characterization of the Personal web Archiving partnership with, as discussed, the necessary interoperability ingredients to make information flow between phases seamless.







# Personal Web Archiving as a Partnership?

	Individual	Memory Organization
Identify	in-the-flow nomination	
Select	in-the-flow nomination	
Capture	desktop capture	web crawl/capture
Organize	artifact-memento inventory	web archive replay
Persist		redundancy; migration









- I would very much prefer a memory organization that has a long-term horizon to be in charge of the archive instead of a commercial company that might eventually enshittify its offering and ultimately prevent you from exporting your archive
- I have really been wondering why personal archiving is not a more common, integral component of the collection development policy of memory organizations. This has struck me big time when everyone was scrambling to get their stuff out of twitter, as I did, and all those unusable "archives" that now sit on hard disks everywhere. It seems to me that memory organizations can play a role in this personal archiving realm and that there is a win/win involved:
  - Memory organizations increase their coverage and do so with explicit consent of individuals.
  - · Individuals get a usable long-term archive.
  - Bottom Line: When it comes to personal web archiving individuals could use some help. Memory organizations come to mind as parties that could come to their rescue.







### Organizational Considerations

- Strong preference for memory organization with a long-term horizon; significant concerns regarding eventual enshittification of offerings by commercial players.
- Few memory organizations have Personal Web Archiving as an integral collection development approach despite what seems like a potential win/win:
  - memory organizations increase their coverage with explicit consent of individuals;
  - Individuals get a usable long-term archive.







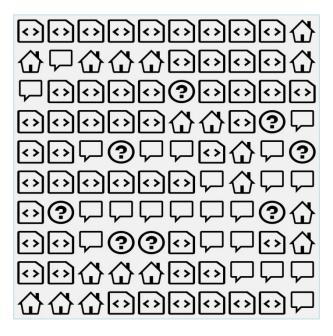








#### Former Farmer Chases Traces



Herbert Van de Sompel

@hvdsomp@w3c.social - https://hvdsomp.info









To conclude on an unhappy note, I want to zoom in on a technical challenge faced by the two experiments that I discussed but that also applies to web archiving in general. It's a challenge that has gotten me very worried in the past few months.

It has to do with the machine access to web resources. And it is not about how making web captures is getting increasingly harder because web resources are getting increasingly complex. We know that much from research by, among others, Michael Nelson's group and from the development efforts by experts such as Ilya Kremer and his colleagues. It's a challenge this community is very familiar with; no need to repeat.

Rather I want to talk about the more recent "Machina non grata" phenomenon.







#### Machina non Grata











I am referring to the systematic blocking of machine access to web resources that are intended for human consumption as a result of overly aggressive bots that operate on behalf of AI companies.

I personally first encountered the problem a few months ago when using curl to check the HTTP Link headers returned by landing pages from a scientific publisher. There would be no response, just none. Then I received a very concerned email from Petr Knoth who is the lead on the CORE scholarly aggregator portal. I am on their advisory board. He informed me that, increasingly, he saw institutional repositories completely block machine access to their content making the work of CORE practically impossible. Which is quite understandable, when seeing how significant the challenges are that repositories face. This is from a presentation at Open Repositories 2024.







# The tangible effects (with our lived examples - timeline)

#### **OpenAl launches GPT August 2023**

- In 7 days 28 Repository servers down with DoS
- From day one GPT had already a complete sitemap of dynamic pages
- Robots.txt not respected initially
- Once enforced, new Agents started harvesting data in similar fashion
- December 2023. e.g 1,300,000 different IP address in 6 hours (single Repository) (DoS)
- By March 2024, 87% of all traffic across every repository was Bot Driven.
- While writing this presentation i spent 5 hours restoring a corrupted DB. Reason: Alibaba Al harvester filled Cache Table with 500 Gbytes of data.

Cloud Traffic/costs and human labor(daily on non-existing needs) went up considerably.







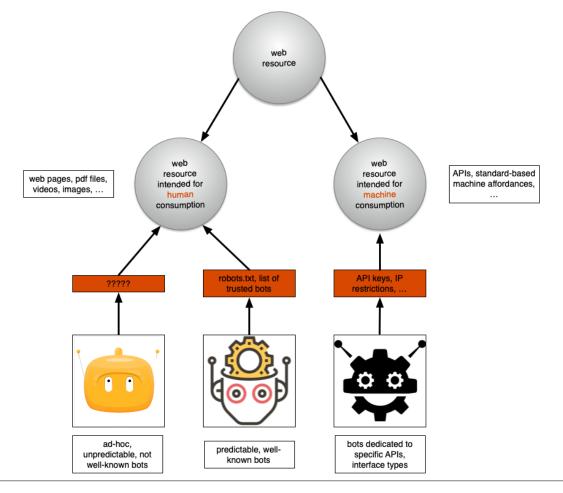
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#### **Archived Personal Traces**

- Some traces left by individuals get captured as a result of the collection development policy of archives, others slip between the maze although they might have been important to the individual.
- There is no resource that connects these archived traces, that makes it obvious that the traces available in various archives were left by a same individual.
- As a result, determining in which archives an individual's traces can be found is not trivial. In my examples, the Ghent Library archive is probably rather predictable. But the Österreichische Mediathek in Vienna less so.









Essentially, what I am going to do now is quickly dream up high-level characteristics of a framework for web archiving personal traces that would appeal to me. Meaning what I will do will reflect personal preferences but those might be shared by a substantial number of other individuals.







## My Boundary Conditions for Web Archiving Personal Traces

- Keen to archive personal traces that I leave on the Web
- Willing to invest some time/energy/effort/money into it
- Not willing nor able to run my own archival show
- Keen on a solution that provides some reassurance regarding the longevity of the personal web archive









But the various phases that are identified in the Tips are very helpful and can also be used to characterize web archiving as conducted by memory organizations.

That's not what I am after either because the collection development policies provide no guarantees that personal traces that I care about will be captured. I do very much like an organization with expertise to be in charge of the last three phases though.







# Web Archiving by Memory Organizations

	How	Who	Eval
Identify	collection development policy	organization	1
Select	collection development policy	organization	1
Capture	web crawl/capture	organization	+
Organize	web archive replay	organization	+
Persist	redundancy; migration	organization	+









The phases can also be used to characterize web archiving as conducted by individuals. We are now in the realm of tools like the webrecorder suite, ArchiveBox, and pwebarc.

I very much like the individual being in charge of selection and am in awe of people that run their own web archiving infrastructure. But, again, I have zero expertise to take care of this myself.







# Web Archiving by Individuals

	How	Who	Eval
Identify	individual choice	individual	+
Select	individual choice	individual	+
Capture	web crawl/capture; desktop capture	individual	+/-
Organize	web archive replay	individual	-
Persist	redundancy ; migration	individual	-









And with that, I am going to retire to my Austrian mountains.





