Nodal sets in mathematical physics

J. Brüning^a

Institut für Mathematik der Humboldt-Universität, Unter den Linden 6, 10099 Berlin, German-

Abstract. We describe the main lines of mathematical research dealing with nodal sets of eigenfunctions since the days of Chladni. We present the material in a form hoorfully suited to a nonnecialized but mathematically educated andience.

1 Introduction

When Bent Towner Pricities Calcular problems of Georges of the forces Kineffgeren in 1976, to seconds the of interest not only smanning his filter plotties: or Anthropium's new they addressed themselves in those deeps - but also among the public at large. Notably with the work of Eder and Language, Mathematical Physics had just come into being and the new phenomenon posed a great challenges to its protogonists. Nevertheless, it took more than the property of the property of

The analysis of the Khangiguren then requires us to find the characteristic vibrations of a plate and/so determine their mote is, the rest points of the plate. This amounts to orbit; an object and/so determine the contract of the contract of the contract of the contract of a spite complicated problem which allows an explicit solution only for the circular plate (E), p. 201. Therefore, meahmental physicials have preferred to other the conceptually analogous review we concentrate on results obtained by "classical" methods of Mathematical Physicial review of the contractal contract of the contract of the contract of the contract of the lower will exclude the challent approaches from consideration which are well presented in other methods of the contract of th

2 Vibrating membranes

A compact Riemannian manifold (M, g), of dimension m, possibly with boundary, ∂M , will be called a membrane in what follows; if $\partial M = \emptyset$, the membrane is called closed. We will require infinitely smooth data for simplicity, even though this assumption can be weakened considerably in many cases.

The vibrations we consider are described by the eigenfunctions of the Laplace-Beltrami operator which we introduce as an operator in $L^2(M, q)$ which is defined for $\sigma \in C^{\infty}(M)$ by

$$\Delta \sigma = -\frac{1}{\sqrt{g}} \sum_{i,j=1}^{m} \frac{\partial}{\partial x^{i}} \left(\sqrt{g} g^{ij} \frac{\partial}{\partial x^{j}} \sigma \right);$$
 (1)

here (x^i) are local coordinates, (g^{ij}) denote the corresponding coefficients of the induced metric on T^*M , and $g = \det(g^{ij})^{-1}$. In order to obtain a symmetric operator (note that Δ becomes

[°] c-mail: bruening@mathematik.hu-berlin.de

nonnegative in our definition) we have to impose boundary conditions if $\partial M \neq \emptyset$, again, for simplicity (and frequency of occurence) we restrict attention to the Dirichlet and the Neumann boundary conditions which require σ or its normal derivative at the boundary to vanish. The domain of Δ will be exerciscally denoted by \mathcal{D} .

The basis of the subsequent analysis is the following special instance of the Spectral Theorem, which was first conjectured by M. Ohm and Lord Rayleigh and eventually proved, in great generality. by Hilbert 1904.

Theorem 1 There is a sequence (λ_n, σ_n) , called the spectral resolution of Δ , of solutions of the eigenvalue equation $\Delta \sigma = \lambda \sigma$, $\sigma \in D$, (2)

The sequence (λ_n)_{n∈N} increases towards infinity.
 The sequence (σ_n)_{n∈N} forms an orthonormal basis for L²(M, g).

In particular, Δ is a self-adjoint operator with domain D in $L^2(M, g)$.

We call the finite dimensional vector space

$$E(\lambda_n) := \{ \sigma \in D : \Delta \sigma = \lambda_n \sigma \}$$

the eigenspace with eigenvalue $\lambda_n;$ λ_n is called simple if its multiplicity

$$\mu(\lambda_n) := \dim E(\lambda_n)$$

is equal to one, and otherwise degenerate.
Now we can introduce the nodes or the nodal set of σ₊ as

$$N(\sigma_n) := (\sigma_n)^{-1}(0);$$

if m = 2, we talk about the nodal lines. There is no ambiguity about nodes if λ_n is simple but in the degenerate case, the nodal sets may vary greatly in the unit sphere of $E(\lambda_n)$. Since

in this case we have many choices for an orthonormal basis, it is unclear how significant the knowledge of $N(\sigma_n)$ for any specific choice of basis can be. The proof of Theorem 1 rests on the calculus of variations as applied to the Dirichlet integral. In particular, one obtains the following very useful non-recursive characterization of the eigenvalues, which is due to Courant (fix, n. 3511).

Theorem 2 Denote by V^k the set of k-dimensional subspaces of $L^2(M, g)$. Then for all n,

$$\lambda_{\alpha} = \max_{V \in V^{\alpha-1}} \min_{\sigma \in \mathcal{D}_1(\phi) \cap V^{\perp}} \frac{\int_M |\nabla \sigma|^2}{\int_M |\sigma|^2}.$$
 (4)

One of the advantages in dealing with mebranes is the existence of large families for which the spectral resolution can be given explicitly. Even though these classes are special in the sense that they consist of manifolds with integrable geodesic flow, they do provide interesting examples to build an intuition and to test conjectures. We restrict to the case of closed membranes and briefly discuss the case m = 1, the soberes, and the flat to be below.

Example 1: m = 1. 1 In dimension one, the isometry classes of closed membranes form a one-parameter family given by the circles of fixed radius R. For R = 1, we need to find the 2π -periodic solutions of the equation

$$\sigma''(t) + \lambda \sigma(t) = 0$$
,

and get, for all $n \in N$,

$$\lambda_n = (n-1)^2$$
, $E(\lambda_n) = \{\alpha \cos(\sqrt{\lambda_n}t) + \beta \sin(\sqrt{\lambda_n}t) : \alpha, \beta \in C\}$.

The nodal set $N(\sigma_n)$ is given by n-1 equidistant points on S^1 , and the set $S^1 \setminus N(\sigma_n)$ has exactly n connected components. In what follows, we will call the connected components of $M \setminus N(\sigma_n)$ the nodal domains of σ_n , and we define the number $NC(\sigma_n)$, the nodal count of σ_n , as the number of nodal domains of σ_n .

Example 2: Spheres

We equip the sphere

$$S^m := \{x \in \mathbb{R}^{m+1} : |x| = 1\} \subset \mathbb{R}^{m+1}$$

with the metric induced from the Euclidean metric on R^{m+1} . Next we introduce the space of homogeneous polynomials in m+1 variables, $P^k = P^k(R_{m+1})$, and the subspace of harmonic polynomials, $\mathcal{H}^{k} = \mathcal{H}^{k}(\mathbb{R}^{m+1}) := \{ \sigma \in \mathcal{P}^{k} : \Delta \sigma = 0 \}.$

The link with Δ_{S^m} , the Laplace-Beltrami operator on S^m , is provided by orthogonal symmetry since in polar coordinates, $x = r\omega$, we obtain for $\sigma \in P^k$

$$\Delta_{R^{m+1}}\sigma(r\omega) = r^{k-2}(\Delta_{S^m} - k(k+m-1))\sigma(\omega).$$

As an easy consequence we find that

$$\mathcal{H}^{k}|S^{m} \subset E_{S^{m}}(k(k+m-1)).$$

and that the image of the map $r^2\Delta_{E^{m+1}}: P^{k+2} \rightarrow P^{k+2}$ contains the spaces

$$\bigoplus_{i=0}^{l} r^{2(l+1-j)} \mathcal{H}^{2j}$$

and

and

$$\oplus_{j=0}^{l-1} p^{2(j-j)} \mathcal{H}^{2j+1}$$
,
for $k = 2l$ and $k = 2l - 1$, respectively. But then it follows inductively that

$$P^{2l} = \bigoplus_{j=0}^{l} r^{2(l-j)} \mathcal{H}^{2j}$$
, (

$$\mathcal{P}^{\mathcal{B}(x)} = \overline{w}_{pop}^{-1} \mathcal{P}^{\mathcal{B}(x)} \mathcal{P}^{\mathcal{B}(x)}$$
, (7)
The direct sum in this decomposition is actually exthogonal if we equip \mathcal{P}^{k} with the scalar

$$\langle \sigma_1, \sigma_2 \rangle := \int_{S^m} \sigma_1(\omega) \sigma_2(\omega) dvol_{S^m}(\omega).$$

In summary, we find that the spectral resolution of $\Delta_{S^{-}}$ is provided by the data $\lambda_n = n(n + m - 1), \quad E(\lambda_n) = \mathcal{H}_n | S^m$

Moreover.

$$\mu(\lambda_n) = \binom{n+m}{m} - \binom{n-2+m}{m} = \frac{2}{m!} n^{m-1} + O(n^{m-2}) = \frac{2}{m!} \sqrt{\lambda_n}^{m-1} + O(\sqrt{\lambda_n}^{m-2}).$$

We also see that the eigenfunctions of $\Delta_{S^{n_i}}$ with eigenvalue λ_n are polynomials of degree $n \sim \sqrt{\lambda_n}$. Their nodal sets, however, are not at all easy to analyze

Example 3: Flat Tori

A flat torus, $T \equiv Te$, is the quotient of R^{en} by a lattice Γ , where a lattice is the set of all integer linear combinations of a fixed basis, $(\gamma_i)_{i=1}^m$, of \mathbb{R}^m . The torus is then metrically obtained from the Euclidean parallelepined

$$\mathcal{F}_{\Gamma} := \left\{ \sum_{j=1}^{m} x^{j} \gamma_{j} \in \mathbb{R}^{m} : 0 \leq x^{j} \leq 1 \right\}$$

by appropriately identifying the faces; in particular, $volF_{\Gamma} = volT$. We introduce the dual lattice, Γ^* , by

$$\Gamma^* := \{ \gamma^* \in R^m : \langle \gamma^*, \gamma \rangle \in \mathbb{Z} \text{ for all } \gamma \in \Gamma \} = \left\{ \sum_{i=1}^m k_j \gamma^j : k_j \in \mathbb{Z} \right\},$$

where $(\gamma^j)_{j=1}^m$ denotes the dual basis to $(\gamma_i)_{i=1}^m$, $(\gamma^j, \gamma_i) = \delta_i^j$. The functions

$$\sigma_{\gamma^*}^{\pm}(x) := \exp(\pm 2\pi i \langle \gamma^*, x \rangle)$$
 (8)

satisfy the eigenvalue equation $\Delta v_{-} \sigma_{-}^{\pm} = 4\pi^{2} |\gamma^{*}|^{2} \sigma_{-}^{\pm}$

$$\Delta_{R^{\alpha}}\sigma_{\gamma^{*}}^{\pm} = 4\pi^{2}|\gamma^{*}|^{2}\sigma_{\gamma^{*}}^{\pm},$$
 (9)
and a well known completeness argument shows that all eigenvalues of Δ_{T} are given by (8).

with corresponding eigenfunctions $\sigma^{\pm}_{-}|\mathcal{F}_{F}$. The growth of the eigenvalues is related to a volume estimate as follows: if we denote the diameter of \mathcal{F}_{r-} by R_{r-} and by $B_{r-}^{m}(0)$ the ball of radius R around 0 in R^m , then we have

 $\text{vol } B_n^m(0) \le N_F(4\pi^2R^2) \text{ vol } \mathcal{F}_{Fr} \le \text{vol } B_{n-n}^m$ (0).

if we write

if we write
$$N_{\Gamma}(t) = \sum_{\lambda_{-} \leq t} \mu(\lambda_{n}).$$

Since $\operatorname{vol}\mathcal{F}_{\Gamma}$ -vol $\mathcal{F}_{\Gamma} = 1$, it follows that
$$N_{\Gamma}(t) = \frac{\operatorname{vol}B_{\Gamma}^{\infty}(0)}{\operatorname{vol}} \operatorname{vol}T t^{m/2} + O(t^{(m-1)/2}).$$

Again, the nodal sets of generic eigenfunctions seem hopelessly complicated, as a consequence of the high multiplicity of the eigenvalues. However, if we restrict attention to the linear combinations of the basic eigenfunctions $\sigma_{\gamma^*}^{\pm}$, the situation greatly simplifies. Their nodal sets in R^{ee} are a union of hypersurfaces, e.g. for $(\sigma^{even})(x) := \sin 2\pi (\gamma^*, x)$ we obtain

$$(\sigma_{\gamma^*}^{even})^{-1}(0) = \bigcup_{k=0}^{\infty} \{x \in \mathbb{R}^m : \langle \gamma^*, x \rangle = k/2\}$$

and it is easy to see that these are inequivalent mod Γ precisely for $k = 1, ..., \nu(\gamma^*)$, if we put

$$\nu(\gamma^*) := \min\{\langle \gamma^*, \gamma \rangle > 0 : \gamma \in \Gamma\}.$$
 (11)

We note that in terms of the basis representation of γ^* .

$$\gamma^* = \sum_{i=1}^m k_j \gamma^j$$
,

 $\nu(\gamma^*)$ equals the greatest common divisor of the integers k_1, \dots, k_m . As a consequence, we see that the eigenfunction of T induced by σ_{γ}^{even} has exactly $2\nu(\gamma^*)$ nodal domains. In this case we can even compute the volume of the nodal set since the geometry is so simple: we find with

$$L(\sigma_{\gamma \gamma}^{even}) := \text{vol } N(\sigma_{\gamma \gamma})$$
 (12)

the relation

$$L(\sigma_{\gamma^*}^{even}) = \text{vol } T(2|\gamma^*|) = \frac{\text{vol } T}{-} \sqrt{\lambda(\gamma^*)}$$

3 Eigenvalue estimates

The examples above are very special as we emphasized before. Therefore, one must be corriding in permilling phenomena observed there is none general melanemist liconomiest radiance with impairie curvature, for which product flow is known to be expalse. In this section, we will example deviate for the product structure. The first and certainly must important general complex deviate for the product structure. The first and certainly must important general result in the to Hérmander [16] for compact membranes and to brill [16] for membranes with result in the to Hérmander [16] for compact membranes and to brill [16] for membranes with crimedia and the compact of the compact o

eigenvalue asymptotics as exemplified in (10) and reads as follows. Theorem 3 For any compact membrane (M, g), we have the asymptotic relation

$$N_{\Delta}(t) := \sum_{\lambda_n \le t} \mu(\lambda_n) = \frac{\text{vol } B_1^{m}(0)}{(2\pi)^m} \text{vol } M t^{m/2} + O(t^{(m-1)/2}).$$
 (13)

We have seen that the sphere provides an example of a membrane where this estimate cannot be improved, but it is not known what the best possible remainder term looks like in other cases, like membranes with ergodic geodesic flow. The asymptotic relation (13) leads to a relation between the eigenvalue and its number,

to wit

$$n \sim \frac{\text{vol } B_1^m(0)}{(2\pi)^m} \text{vol } M \lambda_n^{m/2} + O(\lambda_n^{(m-1)/2})$$

It is of interest to know whether these asymptotic relations can be turned into effective two-sided estimates, a result conjectured by Polya and proved in its probably most effective form - in terms of the dependence of the constants involbed on the geometric data - by II and Yau [23]; in particular, one obtains the following generalization of a result by Faber and Krahn [21] for plane metranes:

$$vol M \ge C_{M,g} \lambda_1^{-m/2}$$
. (14)

The relation (13) does not tell us anything about the eigenfunctions, Hörmander's proof, however, does since it is based on the so called spectral function of Δ which is defined as

$$e_{\Delta}(p, q; t) := \sum_{\lambda_n \leq t} \sigma_n(p) \sigma_n(q).$$
 (15)
In fact, Hörmander proves that this function satisfies the estimate (10), too, if the membrane

is closed; the case with boundary is more complicated since the spectral function necessarily diverges near the boundary. Again, this universal estimate is sharp, with the sphere providing again a counterexample, since any improvement in the estimate of e_{Δ} implies the same improvement for N_{Δ} . For closed membranes, we easily deduce the pointwise estimate

$$\sup_{l} |\sigma_n(p)| \le C_M \lambda_n^{(m-1)/4} ||\sigma_n||_{L^2(M,g)}. \quad (16)$$

This estimate can certainly be improved considerably for specific classes of membranes but the precise extent of this improvement remains largely unknown. For a thorough review of this question, see [19].

Coming back to the nodal sets, it has to be said that our knowledge is more restricted, miniply because eigenfunctions are much less accessible in general than eigenvalues. In two dimensions, the nodal lines are locally isometric to the nodal lines of barmonic polynomials, and fair apparently flats proved by Bers [1], hadger dimensions, no comparable results are known but we do know that the (m - 1)-dimensional Hamsderff measure of $N(a_n)$ and the (m - 2)line limit (for none eigend information, G_1/N Sec. [2]).

The variational characterization of the eigenvalues in Theorem 2 allows some very useful conclusions which are due to Courant [[8, Ch. 6]]. In their formulation, we denote by $B_{\epsilon}^{X}(p)$ the ball around p of radius ϵ in an arbitrary metric space (which is here the Riemannian manifold (M, q)). Theorem 4 (1) The union of all nodal sets is dense in M. More precisely, for some constant C_M and all $p \in M$ and $n \in N$.

$$N(\sigma_n) \cap B^M_{C_M/\sqrt{\lambda_n}}(p) \neq \emptyset.$$
 (17)

For all n ∈ N, σ_n has at most n nodal domains i.e.

 $NC(\sigma_n) \le n$.

We note that part 2 of the Theorem has been somewhat improved for flat membranes by Přejde 2R. We have reson in Example 1, that $N(C_0) = n$ if n = 1, but in Example 3 we found that we may have $N(C_0) = 2$ for infinitely many n if M is a flat town of dimension greater than the same M is the same M in the same M in the same M is the same M in the same M in the same M is the same M in the same M in M

4 The geometry of nodal sets

Since the nodal count does not correlate with the eigenvalues we may return to our examples for impiration; then it becomes apparent that the next likely candidate for such a correlation should be the volume of the nodal set, $L(a_0)$. This was conjectured and proved in the two-dimensional case by Brining and Gromes (S. 43).

Theorem 5 Let (M,g) be a smooth closed membrane in dimension two. Then there is a constant C_M such that

$$L(\sigma_n) \ge C_M^{-1} \sqrt{\lambda_n}$$
. (18)
It is not hard to see that this estimate extends to membranes with boundary and suitable

boundary value problems, and that it can be formulated with minimal smoothness. In our examples, we can establish with some work also upper estimates of the same type, that is, $L(\sigma_n) \le C_M \sqrt{\lambda_n}, \qquad (19)$

but, so far, no such estimate could be established under the same natural smoothness assumptions, nor could any of these estimates be extended to higher dimensions. There are estimates, however, in terms of different functions of the eigenvalue, among which we mention here only the following result in the surface case, due to Donnelby and Fefferman [10].

Theorem 6 If M is closed and $\dim M = 2$, then

$$L(\sigma_n) \le C_M \lambda_n^{3/4}$$
.

If, however, the assumption of sufficient differentiability is replaced by the requirement that both the membrane and its metric be real-analytic, then the best possible estimate holds, as was shown by Donnelly and Fefferman [11].

Theorem 7 If M is a real-analytic closed membrane with real-analytic metric g, then there is a constant C_M such that for all $n \in \mathbb{N}$

$$C_M^{-1}\sqrt{\lambda_n} \le L(\sigma_n) \le C_M\sqrt{\lambda_n}$$
.

The analyticity is used here to exploit complex – analytic methods by analytic extension, notably a fairly straightforward upper estimate for the volume of the nodal set of a complex polynomial; the harder work consists in making explicit the analogy between σ_n and a polynomial in m complex variables of degree $\sqrt{\lambda_c}$ suggested by example 2 above. The said inequality rests on an integral geometric formula which asserts for a polynomial σ in C^m and $V := N(\sigma) \cap B_1(0)$ the identity

$$\mathcal{H}^{m-1}(V) = \int_{I \subset C} \sharp (V \cap L),$$

where \sharp denotes the cardinality of a set, \mathcal{L} is the (compact) space of lines in \mathbb{C}^m , and \mathcal{H}^{m-1} denotes (m-1)-dimensional Hausdorff measure.

Thus the upper estimate - which seems so clusive in the smooth case! - is quite plausible under analyticity assumptions. The lower bound, however, is very difficult to obtain, even under those stronger assumptions.

The volume is certainly only the simplest geometric invariant of the modal set, and one would like proceed and to analyze the curvature. This seems to be a very difficult task since already on a flat torus we can see that the curvature is not bounded in eigenspaces of high multiplicity. Consequently, almost nothing is known in this direction; the following curious result in two dimensions, due to Drinning [S], may be worth noting, though.

Theorem 8 Assume that a membrane $M \subset \mathbb{R}^2$ admits a sequence of eigenfunctions $(a_n)_{n \in \mathbb{N}}$ with the property that all nodal lines have constant curvature. Then M is contained in the following list:

- (1) sectors of circles (2) sectors of annuli
- (3) membranes that arise from a triangle with angles (π/2, π/4, π/4) or (π/2, π/3, π/6) by finitely many reflections in a side.

Another natural question would be to sak for the topological significance of nodal sets. As for as we can see, sink roundle exist again only in two dimensions. A rather well developed research direction was initiated by Papes in [27] when he saked whether the second significance of a plane numbers can be closed, and gave an negative assure in the case that the numbers is, in sublition, symmetric with respect to the coordinate near. The operation was settled in the construction of the construction while or constructionally in the non simply connected ones was provided in [17].

Another interesting question arises from the fact that the multiplicities of the eigenvalues are bounded by the topology of M in two dimensions, but not in any higher dimension. We can therefore ask for the extremal metrics g on a surface M which maximize the multiplicity of any given eigenvalue; cf. [19] for a discussion of work on this problem.

5 Isospectrality and the nodal count

In 1995, Mark Kee [26] posed the now famous question "Can one hear the shape of a drum," If formulation paraphreses the inturbite is due that the fundamental frequencies of a drum, or of any other vibrating systems, should characterize in up to isometries, that from "bearing" the system we can reconstruct its hape, in pile of its immediate papeal, the point that Ker made system we can reconstruct its hape, in pile of its immediate papeal, the point that Ker made spectral analysis, the prototypical inverse problems, of, for example the report given by Sir Arthur Schmeter to the British Association in 1825, as quoted in [12, p. xil].

The answer to the celebrated question is also long known to be negative; with a counter-comple in John Minner's paper of 1962 [55] began a long list of articles which provide counterest amples to the inverse spectral problem for membranes $(M_0,g_0)_{i\in I}$ which are isospectral ic. has we have asses spectrum for the Laplace-Bettumio operator but are mutually not isometric. The first general countraction (of pairs) was given by Sunda [29], the first examples parametrized by a continuum by DeTurk and Gordon [9].

These examples tend to disguise the expectation that, generically, isospectrality should imply isometry. A precise statement in this direction, however, seems to be available so far only in two dimensions, cf. [26], so it makes sense to look for additional spectral data which might imply isospectrality directly. In this direction, Smilansky has proposed to use the nodal count as additional information [2], and together with his coworkers he has corroborated this conjecture in various examples (cf. e.g. [15]). As we have seen, the high fluctuations in the nodal count indicate that there could be indeed a classifying potential, the extent of which is certainly worth exploiting. These authors even proposed that the nodal count alone would characterize a suitable class of systems, i.e. that one "can count the shape of a drum" [13]. In spite of the appeal of this formulation, it runs into trouble in the framework of membranes as soon as eigenvalues degenerate, requiring an "uncountable nodal count" since all eigenfunctions ought to be considered. This case occurs, as we know, notably for the flat tori (the class of membranes where Milnor's counterexample comes from), and a beautiful test case is provided by two mutually isospectral four-parameter families of flat tori in four dimensions, constructed by Conway and Sloane [7]. Smilansky and [14] have analyzed some members of this family numerically, proposing a different way of counting nodal domains which avoids multiplicities and considers only the basic functions (8). Briging and Klawonn [6] have taken up this question and have shown that this way of counting actually distinguishes the two families while the knowledge of the spectrum and the true nodal count of the basic functions, that is, the knowledge of the numbers (cf. (8) and (10))

$$\{|\gamma^*|,\nu(\gamma^*):\gamma^*\in\varGamma^*\}$$

will not distinguish them. In order to achieve this, they go on and introduce certain extremal values of the nodal count in each eigenspace which allow a tractable algebraic representation and hence can be seen to distinguish the lattices defining the tori

coming back to Chiladin, whose memory is honoured by this conference, we recall that he became famous in his day for making people "see the sound". To paraphrase the result of Brüning and Klawoan, we are hence tempted to state (or rather conjecture) that we can deduce the shape of a flat torus from hearing it and seeing its Klamginguren (in Euclidean space).

We are indebted to the GIF (German-Israeli Foundation for Scientific Research and Development) for supporting our work on nodal sets.

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